

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN

as on the financial year ended on March 31, 2018

[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company

(Management & Administration) Rules, 2014]

I. REGISTRATION & OTHER DETAILS:

i	CIN	L67120MH1994PLC218169
ii	Registration Date	February 15, 1994
iii	Name of the Company	KLG CAPITAL SERVICES LIMITED
iv	Category/ Sub-Category of the Company	Company Limited by Shares, Non-Govt. Company
v	Address of the Registered office & contact details	
	Address	209, SKIL House, Bank Street Cross Lane, Fort
	Town / City	Mumbai - 400 023
	State	Maharashtra
	Country Name	India
	Telephone (with STD Code)	022 6619 9000
	Fax Number	022 2269 6024
	Email Address	company.secretary@klgcapital.com
	Website, if any	www.klgcapital.com
vi	Whether listed company	Yes
vii	Name and Address of Registrar & Transfer Agents (RTA):-	
	Name of RTA	Link Intime India Private Limited
	Address	44, Community Centre, 2nd Floor, Naraina Industrial Area, Phase-1, Near PVR Naraina
	Town / City	New Delhi
	State	Delhi
	Pin Code:	110 028
	Telephone	011 - 41410592/93/94
	Fax Number	011 - 41410591
	Email Address	delhi@linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITY OF THE COMPANY

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All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product / Service	% to total turnover of the company
1	Interest on ICD	649	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY / ASSOCIATE	% of shares held	Applicable Section
1	Awaita Properties Private Limited 209, SKIL House, Bank Street Cross Lane, Fort, Mumbai - 400 023	U 4 5 1 0 2 M H - 1995PTC084308	HOLDING	60.46	2(46)
2	KLG Stock Brokers Private Limited 209, SKIL House, Bank Street Cross Lane, Fort, Mumbai - 400 023	U 7 4 1 2 0 M H - 2010PTC207363	SUBSIDIARY	100	2(87)

KLG Capital Services Limited

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
e- Any Other (specify)									
Hindu Undivided Family	42333	0	42333	1.32	43457	0	43457	1.32	0.00
Non Resident Indians (non repeat)	626	0	626	0.02	676	0	676	0.02	0.00
Other Directors	3500	0	3500	0.11	3500	0	3500	0.11	0.00
Non Resident Indians (non repeat)	8055	0	8055	0.25	8005	0	8005	0.25	0.00
Clearing Member	1314	0	1314	0.04	3429	0	3429	0.04	0.00
Bodies Corporate	564231	0	564231	17.62	484146	0	484146	17.62	0.00
Sub-total (B)(3)	1263392	2933	1266325	39.54	1263392	2933	1266325	39.54	0.00
Total Public Shareholding	1263392	2933	1266325	39.54	1263392	2933	1266325	39.54	0.00
(B)=(B)(1)+(B)(2)+(B)(3)									
Total (A) +(B)	3199467	2933	3202400	100.00	3199467	2933	3202400	100.00	0.00
(C) Non Promoter- Non Public	0	0	0	0	0	0	0	0	0.00
(1)- Custodian/ DR Holder									
(2)- Employee Benefit Trust under SEBI (share based Employee Benefit) (Regulations, 2014)	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	3199467	2933	3202400	100.00	3199467	2933	3202400	100.00	0.00

ii Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1	Awaita Properties Pvt. Ltd.	1936075	60.46	0.00	1936075	60.46	0.00	0.00
	TOTAL	1936075	60.46	0.00	1936075	60.46	0.00	0.00

iii Change in Promoters' Shareholding (please specify, if there is no change)

	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year	1936075	60.46	1936075	60.46
Changes during the year No Change	-	-	-	-
At the End of the year	1936075	60.46	1936075	60.46

iv Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Name of the Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1. Karanja Infrastructure Private Limited				
At the beginning of the year	5560	0.17	5560	0.17
Changes During the Year Purchase – 02.02.2018			101625	3.18
At the End of the year			107185	3.35
2. Ranveer Infrastructure Pvt. Ltd.				

Name of the Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
At the beginning of the year	103500	3.23	103500	3.23
Changes During the Year	-	-	-	-
At the End of the year			103500	3.23
3. Verona Capital Ltd.				
At the beginning of the year	95998	3.00	95998	3.00
Changes During the Year	-	-	-	-
At the End of the year			95998	3.00
4. Hansa Infrastructure Pvt. Ltd.				
At the beginning of the year	85000	2.65	85000	2.65
Changes During the Year	-	-	-	-
5. Parag Infrastructure Pvt. Ltd.				
At the beginning of the year	103000	3.22	103000	3.22
Changes During the Year				
Sale – 27.10.2017			(5500)	0.17
Sale – 03.11.2017			(15374)	0.47
Sale – 24.11.2017	-	-	(2900)	0.10
Sale – 08.12.2017			(1000)	0.03
Sale – 05.01.2018			(5026)	0.15
Sale – 26.01.2018			(2600)	0.09
At the End of the year			70600	2.20
6. Suchitra G. Shanbhag				
At the beginning of the year	60400	1.89	60400	1.89
Changes During the Year	-	-	-	-
At the End of the year			60400	1.89
7. Bhavaneesh Athikary				
At the beginning of the year	19534	0.61	19534	0.61
Changes During the Year				
Purchase – 21.04.2017	-	-	18400	0.57
Purchase – 27.10.2017			5500	0.18
Purchase – 10.11.2017			8600	0.26
At the End of the year			52034	1.62
8. V P Dharmarajan				
At the beginning of the year	28737	0.90	28673	0.90
Changes During the Year	-	-	1263	0.04
Purchase – 07.04.2017				
At the End of the year	28737	0.90	30000	0.94
9. Priyanka Singhvi				
At the beginning of the year	28673	0.90	28673	0.90
Changes During the Year	-	-	-	-
At the End of the year	28673	0.90	28673	0.90
10. Puneeta Garg				
At the beginning of the year	24000	0.75	24000	0.75
Changes During the Year	-	-	-	-
At the End of the year	24000	0.75	24000	0.75

v. **Shareholding of Directors and Key Managerial Personnel:**

Name of the Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
Nilesh Mehta (Director)				
At the beginning of the year	3000	0.09	3000	0.09
Changes During the Year	-	-		0.09
At the End of the year	3000	0.09	3000	0.09

Note : Except as above, none of the Directors or Key Managerial Personnel's hold shares of the Company during the above stated period.

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rs. in Lacs)

	Secured Loans excluding Deposits	Unsecured Deposits	Deposit	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the financial year				
i) Addition	-	-	-	-
ii) Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

The Company has not availed any loan during the year under review except from the Holding Company.

VI . REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. *Remuneration of Managing Director (MD), Whole-time Director (WTD) and/or Manager:*

(Rs. in Lacs)

Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager	
		Mr. Chakradhar Das	Total Amount
1	Gross salary	-	-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit	-	-
	- others, specify	-	-
5	Others, please specify	-	-
	Total (A)	-	-

B. Remuneration to Other Directors

(Rs. in Lacs)

Sr. No	Independent Directors	Name of Directors			Amount
		Ms. Gayathri Ramachandran	Mr. V. Ramanan	Mr. J. Alexander	
1	Particulars of Remuneration				
	Particulars of Remuneration				
	Fee for attending board/committee meetings	35,000	50,000	50,000	1,35,000/-
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (1)	35,000	50,000	50,000	1,35,000/-
2	Other Non-Executive Directors	Mr. Nikhil Gandhi	Mr. Nilesh Mehta		
	Particulars of Remuneration				
	Fee for attending board/committee meetings	-	-	-	-
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	35,000	50,000	50,000	1,35,000/-
	Total Managerial Remuneration	35,000	50,000	50,000	1,35,000/-

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. no.	Particulars of Remuneration	Key Managerial Personnel		
		Ankit Pratap Singh Chief Financial Officer (CFO)	Bansari Mehta Company Secretary (CS)	Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,26,000/-	22,613/-	1,48,613/-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	15,000/-	2,500/-	17,500/-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	1,74,000/-	31,420/-	2,05,419/-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
		3,15,000/-	56,533/-	3,71,533

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty Punishment Compounding fees imposed	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty			None		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			None		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			None		
Punishment					
Compounding					